



2007 DHS FUNDING AND COMMUNITY PREPAREDNESS

The Fiscal Year (FY) 2007 Homeland Security Grant Program (HSGP) Guidance and Application Kit was released on January 5. Similar to previous years, the FY 2007 Citizen Corps Program (CCP) is one of five grant programs under the FY 2007 HSGP, to include:

State Homeland Security Program (SHSP)	\$509,250,000
Urban Areas Security Initiative (UASI)	\$746,900,000
Law Enforcement Terrorism Prevention Program (LETPP)	\$363,750,000
Metropolitan Medical Response System (MMRS)	\$ 32,010,000
Citizen Corps Program (CCP)	\$ 14,550,000

With the release of citizen preparedness as a national priority, citizen preparedness and participation is integrated into national policy and reflected in grant guidance from the HSGP and Infrastructure Protection Program (IPP). This means you have additional policy support to pursue your community preparedness priorities for FY 2007. As you work through the 2007 grant package, this document will provide you with a summary roadmap to navigate through this year's DHS funding guidance.

ARE YOU AWARE OF...

- 1) Available Funding - All DHS Homeland Security Grant Program (HSGP) funding (to include SHSP, UASI, LETPP, MMRS, CCP) and five of the seven Infrastructure Protection Program (IPP) grants (Transit Security Grant Program, Intercity Passenger Rail, Ferry Security Supplemental, Intercity Bus Security, and Trucking Security) can be used to support community preparedness and participation. Only Port Security and Buffer Zone Protection grants of the IPP do not explicitly reference Citizen Corps / community preparedness and participation.
- 2) Priority 8 - In the National Priorities section of the Investment Justification Template (Section II.D.), jurisdictions may select up to four National Priorities that each Investment supports. "Strengthen Planning and Citizen Preparedness Capabilities" IS INCLUDED in this National Priority drop down box.
- 3) Common Capability of Community Preparedness and Participation - In the Target Capabilities section of the Investment Justification Template, jurisdictions must select one primary and up to four secondary Capabilities that each Investment supports. "Community Preparedness and Participation" is among the Target Capabilities listed.

SPECIFIC CITATIONS



This section provides specific citations on how you can integrate non-governmental partners into your overall homeland security strategy and accomplish the Citizen Corps mission of engaging non-governmental partners in all aspects of emergency preparedness and prevention—planning, mitigation, protection, response, and recovery. Examples include: targeted outreach to vulnerable populations; training citizens to support surge capacity; or including non-governmental entities, volunteer responders and the general public in community exercises and evaluations.

Specific citations are broken out as follows: FY 2007 HSGP Program Guidance and Application Kit, and FY 2007 Infrastructure Protection Program.

FY 2007 HSGP PROGRAM GUIDANCE AND APPLICATION KIT

Guiding Principle

✓In addition to CCP allocations, States and Urban Areas are encouraged to fully leverage all HSGP resources to accomplish the Citizen Corps mission. (Page 12)

Section I. Introduction

✓For FY07 the National Priorities have specific funding priorities, to include “Enhance Catastrophic Planning...” States and urban areas must ensure and test the feasibility and adequacy of plans and procedures in the areas of Citizen Preparedness and Special Needs Population Planning Requirements. (Page 7)

Section II. Eligible Applicants and Funding Availability

✓States and Urban Areas are encouraged to fully leverage all HSGP resources to accomplish the Citizen Corps mission. (Page 12)

Section III. Program and Application Requirements

✓Working through Citizen Corps Councils, all jurisdictions are encouraged to include non-governmental entities and the general public in planning and associated training and exercises. (Pages 23, 33 & 35)

✓The 15% ceiling does not apply to MMRS or CCP. (Page 36)

✓There are no minimum pass-through requirements for CCP. However, if funds are retained by the State or Territory, the Citizen Corps Program Manager must consult with local Citizen Corps Councils to expend funds in a manner that supports local or statewide efforts to educate, train, and involve citizens. If the State chooses to subgrant funds to a local unit of government, the recipient must have a Citizen Corps Council, comprised of the appropriate members of government and non-governmental representatives, and is registered at www.citizencorps.gov. (Page 40)



Section IV. State Homeland Security Program

✓ ***FY2007 SHSP funds*** may be used to enhance the capabilities of state and local government and non-government emergency preparedness and response personnel through development of a state homeland security training program. (Page 45)

Section V. Urban Area Security Initiative

✓ States must ensure that the identified Urban Areas make an inclusive regional approach to the development and implementation of the FY 2007 UASI program and involve the contiguous jurisdictions,...***Citizen Corps Council(s)***, and MMRS(s) in their program activities. (Page 47)

✓ UAWG membership must include local MMRS and ***Citizen Corps Council representatives, to include non-governmental members and Citizen Corps Program Managers***. (Page 48)

✓ Funds used to support Citizen Corps related efforts, such as citizen preparedness, volunteer participation, and the integration of non-governmental resources should be coordinated with Citizen Corps Councils. (Page 49)

✓ **Training:** FY2007 UASI funds may be used to enhance the capabilities of State and local government and ***non-governmental emergency preparedness*** and response personnel through development of a State homeland security training program. Preparedness training programs are defined as those programs related to prevention, protection, response, and/or recovery from natural, technical, or manmade catastrophic incidents, supporting one or more Target Capabilities in alignment with National Priorities as stated in the Goal. Examples of such programs include but are not limited to:...***and citizen preparedness through Citizen Corps Councils***. (Pages 50-51)

✓ UASI funding may also be used for training citizens in awareness, prevention, protection, response, and recovery skills coordinated through Citizen Corps Councils. (Page 51)

Section VI. Law Enforcement Terrorism Prevention Program

✓ ***LETPP funded exercises should, where practical, involve the private sector, non-governmental partners, trained citizen volunteers, and the general public***. State and local governments should work with their Citizen Corps Councils to include volunteers from programs such as Volunteers in Police, Neighborhood Watch, and the general public. (Page 56)

Section VII. Metropolitan Medical Response System

✓ FY 2007 MMRS grant guidance continues to allow up to \$25,000 per MMRS jurisdiction to ***support local MRC units***. (Page 61-62)

✓ Citizen participation in MMRS funded exercises is strongly encouraged and should be coordinated with local Citizen Corps Councils. (Page 63)



Section VIII. Citizen Corps Program (CCP guidance should be used to support all community preparedness and participation investments.)

✓ ***Citizen preparedness and participation must be coordinated by an integrated body of government and non-government representatives.*** States and local government recipients of HSGP funds, including urban areas, must have a body to serve as their Citizen Corps Council with membership that includes...(Page 65)

✓ Senior Advisory Councils and Urban Area Working Groups may serve as Citizen Corps Councils if the appropriate representatives are members, to include the State/UASI Citizen Corps Program Manager(s) and non-governmental members. (Page 65)

✓ ***The SAA must, however, coordinate the financial, programmatic, and administrative issues relating to the Citizen Corps mission with the State Citizen Corps Program Manager.*** The state Citizen Corps Program Manager must also participate in the G&T required monitoring process. (Page 66)

✓ ***All State homeland security strategies, policies, guidance, plans (including EOPs, Program and Capability Enhancement Plans and Investment Justifications), and evaluations must be reviewed by the body serving as the Citizen Corps Council*** and must include considerations for government/non-governmental collaboration and citizen involvement in preparedness and response. (Pages 66 and 67)

✓ Expenditures for promotional items ***must not exceed 15 percent of the total Citizen Corps Program allocation.*** (Page 67)

✓ Any equipment purchased with CCP funding must be used for specific preparedness or volunteer training or by volunteers in carrying out their response functions. CCP funding is not intended for equipment to be used by uniformed emergency responders, except to support training for citizens. Expenditures for non-governmental personnel response kits (e.g. CERT or MRC kits / backpacks) or clothing items for official identification ***must not exceed 30 percent of the total Citizen Corps Program allocation.*** (Page 68)

✓ Training to serve people with disabilities should be conducted by instructors who represent groups/organizations that are most familiar with people with disabilities, whenever possible. (Page 69)

✓ Funding for CERT training includes the delivery of the CERT basic training to volunteers, supplemental training for CERT members who have completed the basic training, and the CERT Train-the-Trainer training. Note that the Independent Study course, "Introduction to CERT" (IS 317) must not be substituted for delivery of basic training consistent with the 20-hour CERT curriculum. (Page 69)



FY 2007 INFRASTRUCTURE PROTECTION PROGRAM

Transit Security Grant Program (TSGP) Guidance and Application Kit

✓ ***“Public awareness and preparedness campaigns.*** A public awareness and preparedness program can employ announcements, postings in stations and transit vehicles or other media to ensure awareness of heightened alert or threat conditions. Effective awareness programs enlist the public in becoming an informal part of an agency security plan. They should explain specific actions the public can take to contribute to strengthening system security.” (Page 4)

✓ ***Efforts in support of the national preparedness architecture.*** Transit agencies are encouraged to take steps to embrace the national preparedness architecture priorities, several of which have already been highlighted as TSGP priorities. The following six national priorities are particularly relevant: expanding regional collaboration; implementing the National Incident Management System, the National Response Plan and the National Infrastructure Protection Plan; strengthening information sharing and collaboration capabilities; enhancing interoperable communications capabilities; strengthening CBRNE detection and response capabilities; ***and improving citizen preparedness capabilities.*** (Pages 4-5)

✓ ***Allowable Planning Costs.*** FY07 TSGP funds may be used for the following types of planning activities:

- Public education and outreach (such as reproduction of Transit Watch materials). Where possible, such activities should be coordinated with local Citizen Corps Council(s).
- Public alerts and warning systems and security education efforts. (Page 21)

Intercity Passenger Rail (Amtrak) Supplemental

✓ ***Public awareness and preparedness campaigns.*** A public awareness and preparedness program can employ announcements, postings in stations and transit vehicles or other media to ensure awareness of heightened alert or threat conditions. Effective awareness programs enlist the public in becoming an informal part of an agency security plan. They should explain specific actions the public can take to contribute to strengthening system security. (Page 3)

✓ ***Efforts in support of the national preparedness architecture.*** Transit agencies are encouraged to take steps to embrace the national preparedness architecture priorities, several of which have already been highlighted as Amtrak priorities. The following six national priorities are particularly relevant: expanding regional collaboration; implementing the National Incident Management System, the National Response Plan and the National Infrastructure Protection Plan; strengthening information sharing and collaboration capabilities; enhancing interoperable communications capabilities; strengthening CBRNE detection and response capabilities; ***and improving citizen preparedness capabilities.*** (Page 4)

✓ ***Allowable Planning Costs.*** FY07 TSGP funds may be used for the following types of planning activities:

- Public education and outreach. Where possible, such activities should be coordinated with local Citizen Corps Council(s).
- Public alerts and warning systems and security education efforts. (Page 16)



Ferry Security Supplemental

✓ ***Development and enhancement of training and awareness among ferry operators and employees.*** Training and awareness should cover the detection and deterrence of efforts by terrorists to use ferries as a means to attack critical infrastructure and key resources. (Page 3)

✓ ***Public awareness training.*** (Page 3)

✓ ***Efforts in support of the national preparedness architecture.*** Ferry systems are encouraged to take steps to embrace the national preparedness architecture priorities, several of which have already been highlighted as FSGS priorities. The following six national priorities are particularly relevant: expanding regional collaboration; implementing the National Incident Management System, the National Response Plan and the National Infrastructure Protection Plan; strengthening information sharing and collaboration capabilities; enhancing interoperable communications capabilities; strengthening CBRNE detection and response capabilities; and improving citizen preparedness capabilities. (Page 4)

✓ ***Planning Costs.*** FY07 FSGS funds may be used for the following types of planning activities:

- Public education and outreach. Where possible, such activities should be coordinated with local Citizen Corps Council(s).
- Public Alerts and warning systems and security education efforts. (Page 18)

Intercity Bus Security Grant Program (IBSGP)

✓ ***Public awareness and preparedness campaigns.*** Bus companies can obtain the resources and tools needed to enhance the effectiveness of these types of security activities through the IBSGP. The public awareness and preparedness campaign must employ announcements, postings in stations and motorcoaches, and other media to ensure awareness of a heightened alert and actions the public can take to contribute to enhanced system security. It is the responsibility of a bus company to inform the passengers of actions that can be taken to contribute to enhanced security throughout a company's network. (Page 3)

Trucking Security Program (TSP)

✓ ***Planning.*** FY07 TSP funds may be used for the following types of planning activities for:

- Public education or outreach; (Page 13)





Here are some examples of projects from the 2006 Investment Justifications and from ideas some states are pursuing that you may wish to consider.

- **Citizen Preparedness and Participation:** Enhance the ability of residents who represent diverse cultural and ethnic backgrounds to prepare and respond to an emergency. Includes development of a model community-based disaster planning program and standards for volunteer training; development of equipment caches for citizen volunteers.
- Develop and hold professional responder exercises that include non-governmental entities, volunteer responders and the general public as players and/or evaluators.
- Integrate community preparedness in state and local government homeland security strategies, guidance and emergency planning to include greater emphasis on government/non-government collaboration, and volunteer participation.
- Conduct or participate in community assessments, surveys, and research on vulnerabilities and resource needs, and identify possible community roles in addressing those needs.
- **Strengthen Medical Surge & Mass Prophylaxis:** Plan and implement training and exercises for enhanced communication between citizens, first responders, and medical personnel. This Investment also works in tandem with the public awareness campaign which will help citizens to be more informed in the event of a large-scale disaster.
- Design surge capacity strategies, to include training and exercises using trained citizens.
- **Public Awareness Campaign:** Develop and implement a public awareness program within the Urban Area to ensure that citizens are able to prevent, protect, respond to and recover from all all-hazards event. Focus on volunteer education and training, public information and notification as well as research and planning for special needs populations.
- Conduct outreach activities and public events to engage citizens in preparedness and participation.